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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|----------------------------|-------------------------------|----------------------|----------------------|------------------|
| 10/554,122 | 09/11/2006 | Brenda M. Ogle | 07039-463US1 | 4639 |
| 26191 FISH & RICHA | 7590 04/15/200 ARDSON P.C. | 8 | EXAMINER | |
| PO BOX 1022 | C MINI 55440 1000 | | STRZELECKA, TERESA E | |
| MINNEAPOLIS, MN 55440-1022 | | | ART UNIT | PAPER NUMBER |
| | | | 1637 | |
| | | | | |
| | | | MAIL DATE | DELIVERY MODE |
| | | | 04/15/2008 | PAPER |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| | Application No. | Applicant(s) | | | | |
|--|--|---|-------|--|--|--|
| Office Action Comment | 10/554,122 | OGLE ET AL. | | | | |
| Office Action Summary | Examiner | Art Unit | | | | |
| | TERESA E. STRZELECKA | 1637 | | | | |
| The MAILING DATE of this communication app Period for Reply | ears on the cover sheet with the c | orrespondence ad | dress | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). | ATE OF THIS COMMUNICATION 66(a). In no event, however, may a reply be tim rill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONEI | l. lely filed the mailing date of this co (35 U.S.C. § 133). | | | | |
| Status | | | | | | |
| 1) Responsive to communication(s) filed on | | | | | | |
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| <i>;</i> — | - | | | | | |
| | 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. | | | | | |
| closed in accordance with the practice under E | x parte Quayle, 1933 C.D. 11, 40 | 3 O.G. 213. | | | | |
| Disposition of Claims | | | | | | |
| 4)⊠ Claim(s) <u>1-50</u> is/are pending in the application. | | | | | | |
| 4a) Of the above claim(s) is/are withdraw | vn from consideration. | | | | | |
| 5) Claim(s) is/are allowed. | | | | | | |
| 6)☐ Claim(s) is/are rejected. | | | | | | |
| 7) Claim(s) is/are objected to. | | | | | | |
| 8) Claim(s) 1-50 are subject to restriction and/or e | election requirement | | | | | |
| olami(s) <u>7-00</u> are subject to restriction and/or e | noction requirement. | | | | | |
| Application Papers | | | | | | |
| 9) The specification is objected to by the Examine | r. | | | | | |
| 10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner. | | | | | | |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). | | | | | | |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). | | | | | | |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. | | | | | | |
| | | | | | | |
| Priority under 35 U.S.C. § 119 | | | | | | |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. | | | | | | |
| 2. Certified copies of the priority documents | s have been received in Application | on No | | | | |
| | | | | | | |
| _ · | application from the International Bureau (PCT Rule 17.2(a)). | | | | | |
| * See the attached detailed Office action for a list of the certified copies not received. | | | | | | |
| | · | | | | | |
| Attachment(s) | | | | | | |
| 1) Notice of References Cited (PTO-892) | 4) Interview Summary | | | | | |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Da 5) Notice of Informal Pa | | | | | |
| 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date 5) Information Disclosure Statement(s) (PTO/SB/08) 6) Other: | | | | | | |
| | | | | | | |

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DETAILED ACTION

Election/Restrictions

1. Restriction is required under 35 U.S.C. 121 and 372.

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1.

In accordance with 37 CFR 1.499, applicant is required, in reply to this action, to elect a single invention to which the claims must be restricted.

Group I, claim(s) 1-17, drawn to a method for determining lymphocyte diversity in a subject, said method comprising a) providing labeled nucleic acid molecules from a population of said subject's lymphocytes, wherein each said labeled nucleic acid molecule encodes a lymphocyte receptor or a portion thereof; b) hybridizing said labeled nucleic acid molecules or fragments of said labeled nucleic acid molecules with a population of random nucleic acid molecules; and c) determining lymphocyte diversity of said subject by assessing hybridization of said labeled nucleic acid molecules with said population of random nucleic acid molecules.

Group II, claim(s) 18-35, drawn to a method for monitoring a disease in a subject, said method comprising a) providing labeled nucleic acid molecules from a population of said subject's lymphocytes, wherein each said labeled nucleic acid molecule encodes a lymphocyte receptor or a portion thereof; b) hybridizing said labeled nucleic acid molecules or fragments of said labeled nucleic acid molecules with a population of random nucleic acid molecules; c) determining lymphocyte diversity of said subject by assessing hybridization of said labeled nucleic acid molecules with said population of random nucleic acid molecules; and d) comparing said subject's lymphocyte diversity with lymphocyte diversity of a control population, wherein an alteration in said subject's lymphocyte diversity relative to that of said control population indicates a change in said disease.

Group III, claim(s) 36-46, drawn to a method for determining viral diversity in a subject, said method comprising a) providing labeled nucleic acid molecules from a biological sample of said subject, wherein said labeled nucleic acid molecules encode a viral polypeptide; b) hybridizing said labeled nucleic acid molecules or fragments of said labeled nucleic acid molecules with a population of random nucleic acid molecules; and c) determining viral diversity of said subject by assessing hybridization of said labeled nucleic acid molecules with said population of random nucleic acid molecules.

Group IV, claim(s) 47-50, drawn to an article of manufacture comprising a) a solid substrate comprising random nucleic acid molecules immobilized thereto; and b) a primer for producing nucleic acid molecules encoding a lymphocyte receptor or a fragment thereof or a primer for producing nucleic acid molecules encoding a viral polypeptide.

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2. The inventions listed as Groups I-IV do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: MOLECULAR SCIENCES LIMITED (WO 97/45554; cited in the IDS) teach a solid support with random nucleotides immobilized thereto and primers for amplifying nucleic acids (page 9, lines 20-33; claim 1; page 11, lines 17-19), therefore, Applicants' claims do not represent a contribution over prior art, and, consequently, there is no unifying special technical feature.

3. This application contains claims directed to more than one species of the generic invention.

These species are deemed to lack unity of invention because they are not so linked as to form a single general inventive concept under PCT Rule 13.1.

The species are as follows:

Group I

Species of labels

- A) fluorochromes (claims 7, 8),
- B) biotin (claim 9),
- C) enzyme (claim 10).

Species of labeled nucleic acids

- D) RNA molecules (claim 11),
- E) DNA molecules (claim 12).

Species of lymphocytes

- F) T lymphocytes (claims 13-15),
- G) B lymphocytes (claims 16, 17).

Group II

Species of change in lymphocyte's diversity

A) an increase in said subject's lymphocyte diversity indicates a positive change in said disease (claim 19),

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B) a decrease in said subject's lymphocyte diversity indicates a negative change in said disease (claim 20).

Species of a disorder

- C) rheumatoid arthritis (claim 22),
- D) multiple sclerosis (claim 22),
- E) colitis (claim 23),
- F) leukemia (claim 25),
- G) lymphoma (claim 26).

Species of labeled nucleic acids

- H) RNA molecules (claim 34),
- I) DNA molecules (claim 35).

Group III

Species of labeled nucleic acids

- A) RNA molecules (claim 44),
- B) DNA molecules (claim 45).

Species of viral polypeptide

C) please select one from claim 46.

Applicant is required, in reply to this action, to elect a single species from each set of species from a group to which the claims shall be restricted if no generic claim is finally held to be allowable. The reply must also identify the claims readable on the elected species, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered non-responsive unless accompanied by an election.

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Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations of an allowed generic claim as provided by 37 CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).

The following claim(s) are generic: 1, 18, 36.

- 4. The species listed above do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, the species lack the same or corresponding special technical features for the following reasons: species of group I are drawn to molecules with different structures and properties, and species of group II are drawn to diseases with different etiologies and symptoms as well as to molecules with different structures and properties.
- 5. Applicant is advised that the reply to this requirement to be complete must include (i) an election of a species or invention to be examined even though the requirement may be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected invention.

The election of an invention or species may be made with or without traverse. To preserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the restriction requirement, the election shall be treated as an election without traverse.

- 6. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).
- 7. The examiner has required restriction between product and process claims. Where applicant elects claims directed to the product, and the product claims are subsequently found allowable, withdrawn process claims that depend from or otherwise require all the limitations of the allowable

product claim will be considered for rejoinder. <u>All</u> claims directed to a nonelected process invention must require all the limitations of an allowable product claim for that process invention to be rejoined.

In the event of rejoinder, the requirement for restriction between the product claims and the rejoined process claims will be withdrawn, and the rejoined process claims will be fully examined for patentability in accordance with 37 CFR 1.104. Thus, to be allowable, the rejoined claims must meet all criteria for patentability including the requirements of 35 U.S.C. 101, 102, 103 and 112. Until all claims to the elected product are found allowable, an otherwise proper restriction requirement between product claims and process claims may be maintained. Withdrawn process claims that are not commensurate in scope with an allowable product claim will not be rejoined. See MPEP § 821.04(b). Additionally, in order to retain the right to rejoinder in accordance with the above policy, applicant is advised that the process claims should be amended during prosecution to require the limitations of the product claims. Failure to do so may result in a loss of the right to rejoinder. Further, note that the prohibition against double patenting rejections of 35 U.S.C. 121 does not apply where the restriction requirement is withdrawn by the examiner before the patent issues. See MPEP § 804.01.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to TERESA E. STRZELECKA whose telephone number is (571)272-0789. The examiner can normally be reached on M-F (8:30-5:30).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gary Benzion can be reached on (571) 272-0782. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information

system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Teresa E Strzelecka Primary Examiner Art Unit 1637

/Teresa E Strzelecka/ Primary Examiner, Art Unit 1637

April 11, 2008